



Attorney's Docket No. 00275-277001 / AFG/Z70389-1P US

DT19 Rec'd PCT/PTO 08 OCT 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant : John Edward Norris Morten

Art Unit : 1623

Serial No. : 09/787,371

Examiner : C. Myers

Filed : March 16, 2001

Title : POLYMORPHISMS IN THE HUMAN VCAM-1 GENE, SUITABLE FOR  
DIAGNOSIS AND TREATMENT OF VCAM-1 LIGAND MEDIATED  
DISEASES

TECH CENTER 1600/29

Commissioner for Patents  
Washington, D.C. 20231

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Please correct the Filing Receipt for the above-referenced application to amend the inventor's name from "John EN Morten" to **--John E. N. Morton--**. Enclosed is a copy of the executed Combined Declaration and Power of Attorney in which the inventor's handwritten signature reflects the correct spelling of the inventor's name. Also, please amend the Attorney Docket Number from "P277176" to read **--06275-277001--**. For your convenience, the desired changes are indicated in red ink on the enclosed copy of the Filing Receipt.

Kindly supply a corrected Filing Receipt to the undersigned.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

Signature

Typed or Printed Name of Person Signing Certificate

September 20, 2002

Carla F. Ofodile

Carla F. Ofodile

Applicant : John Edward Norris Morten  
Serial No. : 09/787,371  
Filed : March 16, 2001  
Page : 2

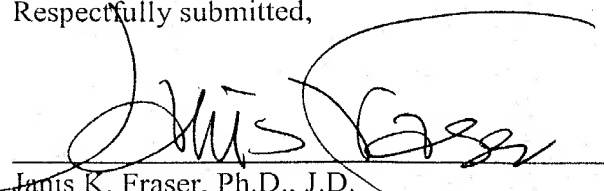
Attorney's Docket No.:  
06275-277001 / AFG/Z70389-1P US

No fee is believed to be due. If, however, there are any charges or credits, please apply them to Deposit Account No. 06-1050.

Respectfully submitted,

Date:

Sept 20, 2002

  
James K. Fraser, Ph.D., J.D.  
Reg. No. 34,819

Fish & Richardson P.C.  
225 Franklin Street  
Boston, Massachusetts 02110-2804  
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OCT 08 2002  
PATENT & TRADEMARK OFFICE

FOR UTILITY/DESIGN  
CIP/PCT NATIONAL/PLAN.  
ORIGINAL/SUBSTITUTE/SUPPLEMENTAL  
DECLARATIONS

RULE 63 (37 C.F.R. 63)  
DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PW  
FORM  
270389/UST

I, the below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the INVENTION ENTITLED POLYMORPHISMS IN THE HUMAN VCAM-1 GENE, SUITABLE FOR DIAGNOSIS AND TREATMENT OF VCAM-1 LIGAND MEDIATED DISEASE.

the specification of which (CHECK applicable BOX(ES))  
X A. ☐ is attached hereto.  
BOX(ES) → B. ☐ was filed on \_\_\_\_\_ as U.S. Application No. \_\_\_\_\_ /  
→ C. ☐ was filed as PCT International Application No. PCT/GB99/03057 on 15.09.1999  
and (if applicable to U.S. or PCT application) was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. Except as noted below, I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International Application which designated at least one other country than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International Application, filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing date of this application:

PRIOR FOREIGN APPLICATION(S)		Date first Laid- open or Published	Date Patented or Granted	Priority NOT Claimed
Number	Country	Day/MONTH/Year Filed		
9820338.3	GB	19.09.1998		

If more prior foreign applications, X box at bottom and continue on attached page.

Except as noted below, I hereby claim domestic priority benefit under 35 U.S.C. 119(e) or 120 and/or 365(c) of the indicated United States applications listed below and PCT international applications listed above or below and, if this is a continuation-in-part (CIP) application, insofar as the subject matter disclosed and claimed in this application is in addition to that disclosed in such prior applications, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of each such prior application and the national or PCT international filing date of this application:

PRIOR U.S. PROVISIONAL, NONPROVISIONAL AND/OR PCT APPLICATION(S)		Status	Priority NOT Claimed
Application No. (series code/serial no.)	Day/MONTH/Year Filed	pending, abandoned, patented	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Pillsbury Winthrop LLP, Intellectual Property Group, telephone number (202) 861-3000 (to whom all communications are to be directed), and persons of that firm who are associated with USPTO Customer No. 909 (see below label) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent, and I hereby authorize them to delete from that Customer No. names of persons no longer with their firm, to add new persons of their firm to that Customer No., and to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct the above Firm and/or an attorney of that Firm in writing to the contrary.

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(1) INVENTOR'S SIGNATURE: John E. N. Morten Date: 7<sup>th</sup> February 2001

Name	JOHN	EN	MORTEN
First	Middle Initial	Family Name	
Residence	Macclesfield	Cheshire	United Kingdom
City	State/Foreign Country		Country of Citizenship
Mailing Address	Mereside, Alderley Park, Macclesfield, Cheshire SK10 4TG, United Kingdom		
(include Zip Code)			

(2) INVENTOR'S SIGNATURE: \_\_\_\_\_ Date: \_\_\_\_\_

Name			
First	Middle Initial	Family Name	
Residence			
City	State/Foreign Country		Country of Citizenship
Mailing Address			
(include Zip Code)			

- ☐ FOR ADDITIONAL INVENTORS see attached page.
- ☐ See additional foreign priorities on attached page (incorporated herein by reference).

Atty. Dkt. No. P  
(M#)

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/787,371	03/16/2001	1623	1530	P277176		12	8

00909  
PILLSBURY WINTHROP LLP  
1600 TYSONS BOULEVARD  
MCLEAN, VA 22102



CONFIRMATION NO. 9624

FILING RECEIPT



\*OC000000006317973\*

Date Mailed: 07/19/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

John EN Morten, Cheshire, UNITED KINGDOM;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/GB99/03057 09/15/1999

Foreign Applications

UNITED KINGDOM 9820338.3 09/19/1998

Projected Publication Date: N/A

Non-Publication Request: No

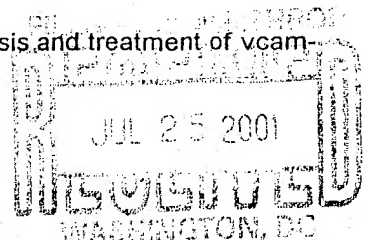
Early Publication Request: No

Title

Polymorphisms in the human vcam-1 gene, suitable for diagnosis and treatment of vcam-1 ligand mediated diseases

Preliminary Class

514



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Data entry by : NGUYEN, SON

Team : OIPE

Date: 07/19/2001



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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

Client/Matter Number: 063-277001

Client/Matter Name: VCAM-1 Gene

Sec	Att	PS	Check Items
<b>PRE-FILING DETERMINATIONS</b>			
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<b>Document Title</b> Title of correspondence to PTO: <b>REQUEST FOR CORRECTED FILING RECEIPT</b>
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<b>Filing Reason</b> This PTO correspondence is being filed: <input type="radio"/> in response to a PTO action or notice titled: ..... <input type="radio"/> to supplement our earlier response filed: ..... <input checked="" type="radio"/> other (please specify) : ..... <div style="float: right; border: 1px solid black; padding: 5px; width: 200px;"> <b>REQUEST FOR CORRECTED FILING RECEIPT</b> </div>
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<b>Timing</b> The PTO action or other event that prompted this filing has been checked to confirm the due date was docketed correctly and is satisfied by this filing
<b>REQUIRED FILING ENCLOSURES</b>			
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<b>Caption</b> (1) serial number, & (2) filing date have been checked for accuracy against information in the file.
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<b>Postcard</b> (1) billing attorney's initials, & (2) list of all papers being sent and the pages of each.
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<b>Document</b> (1) identifies mailing date of PTO action or reason for this filing, (2) lists all items being submitted, & (3) includes standard charges/credits statement
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<b>Filing fee</b> <input checked="" type="radio"/> NONE REQUIRED <input type="radio"/> CHECK <input type="radio"/> DEPOSIT ACCOUNT CHARGE, indicated on document
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<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<b>Envelope</b> Preprinted envelope or label is used, addressed to: Commissioner for Patents, Washington DC 20231
<b>TASKS AFTER ATTORNEY/AGENT CHECK</b>			
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>File copies</b> (1) are complete & (2) include all signatures and dates
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>Manual Docket</b> Billing secretary's manual docket entry is updated
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>Database Update</b> Copy of postcard sent to Patent Services
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>Folder Update</b> File copy, tab, and updated table of contents are filed in prosecution folder

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Secretary

Attorney/Agent

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